

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. D-5639-C4

Anticipated Classification of this Application

Class 436 Subclass 174

Prior Application: 08/556,955

Examiner: L. Alexander Art Unit: 1313

BOX PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir: This is a request for filing a ☒ continuation ☐ divisional application under 37 CFR 1.53(b), of pending prior application Serial No. 08/068,896 filed on May 28, 1993, of Hutchens et al. for Methods and Apparatus for the Desorption and Ionization of Analytes.

1. ☒ Enclosed is a complete copy of the prior application, including the oath or declaration as originally filed, and an affidavit or declaration verifying it as a true copy. (See 8 and 9, for drawing requirements.)
2. ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27:  
☐ is enclosed  
☐ was filed in the proper application and such status is still proper and desired (37 CFR 1.28(a)).

3. ☒ The filing fee is calculated below:

| CLAIMS AS FILED IN THE PRIOR APPLICATION,<br>LESS ANY CLAIMS CANCELED BY AMENDMENT BELOW                 |                                       |                                |   |            |
|--|---------------------------------------|--------------------------------|---|------------|
| ✓  | Fee for:                              | Entity                         |   | Amount     |
|  |                                       | <input type="checkbox"/> Small | <input checked="" type="checkbox"/> Other |            |
|  | Basic fee                             | \$395.00                       | \$790.00                                  | 790.00     |
|  | Each independent claim in excess of 3 | <u>   </u> x \$41.00           | <u>  3  </u> x \$82.00                    | 246.00     |
|  | Each claim* in excess of 20           | <u>   </u> x \$11.00           | <u>  11  </u> x \$22.00                   | 242.00     |
|  | Multiple dependent claim presented    | <u>   </u> x \$135.00          | <u>   </u> x \$270.00                     | 0.00       |
| *Including the total number of claims to which direct reference is made in all multiple dependent claims |                                       | TOTAL FILING FEE —>            |   | \$1,278.00 |

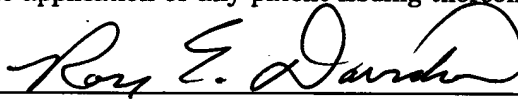
4. ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Account No. 06-2375 under Order No. 936611/D-5639-C4. A duplicate copy of this sheet is enclosed.
5. ☐ A check in the amount of \$ is enclosed.
6. ☐ Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
7. ☐ Amend the specification by inserting before the first line the sentence: -- This is a ☐ continuation, ☐ division.
8. ☐ Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file. (May only be used if signed by person authorized by § 1.138 and before payment of base issue fee.)
9. ☒ New formal drawings are enclosed.

10. ☐ Priority of application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. 119.  
☐ The certified copy has been filed in prior application Serial No. \_\_, filed \_\_.
11. ☒ The prior application is assigned of record to **Baylor College of Medicine**.
12. ☒ The power of attorney in the prior application is **Fulbright & Jaworski L.L.P., 1301 McKinney, Suite 5100, Houston, Texas 77010-3095**.
- a. ☐ The power appears in the original papers in the prior application.
- b. ☒ A new power of attorney is enclosed.
- c. ☒ Address all future communications to **Fulbright & Jaworski L.L.P., 1301 McKinney, Suite 5100, Houston, Texas 77010-3095**.  
(May only be completed by applicant, or attorney or agent of record.)
13. ☒ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
14. ☒ I hereby verify that the attached papers are a true copy of prior application Serial No. 08/068,896 as originally filed on March 28, 1993.

The undersigned declare further that all statements made herein of his or her own knowledge are true and that *all statements made on information and belief are believed to be true*; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

7/28/98

Date



Ross E. Davidson  
Reg. No. P-41,698  
Counsel for Applicants

Address of signator:

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- ☐ Inventor(s)  
☐ Assignee of complete interest  
☒ Attorney or agent of record  
☐ Filed under § 1.34(a)

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Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Re: 4-001; U.S. Patent Application  
Invention: Method and Apparatus for Desorption and Ionization of  
Analytes  
Inventors: T. William Hutchens and Tai-Tung Yip

Sir:

Transmitted herewith is the complete patent application of T. William Hutchens and Tai-Tung Yip for METHOD AND APPARATUS FOR DESORPTION AND IONIZATION OF ANALYTES, including the specification, claims, informal drawings, Declaration and Power of Attorney, Declaration Claiming Small Entity Status - Non-Profit Organization, return receipt postcard and check in payment of the filing fee.

The filing fee is calculated as follows:

|   |          |
|---|----------|
| Basic Fee (Small Entity)                | \$355.00 |
| Independent Claims (in excess of 3) (3) | 111.00   |
| Dependent Claims (in excess of 20) (4)  | 44.00    |
| Total Claims                            | 31       |
| Total Check enclosed                    | \$510.00 |

The Commissioner is hereby authorized to charge any additional fees which may be required, or to credit any overpayment, to Deposit Account No. 22-0365.

Commissioner of Patents and Trademarks  
May 28, 1993  
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Should the Commissioner wish to discuss this application with counsel for application, please telephone W. Ronald Robins at (713) 758-2452.

Sincerely,

W. Ronald Robins By *Perkins*  
W. Ronald Robins *J. H. Clark*

0232:2350

Enclosures

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TRANSMITTED WITH CERTIFICATE OF EXPRESS MAILING